

## Review Article

# Glutamatergic Neurotransmission in ADHD: Neurodevelopment and Pharmacological Implications

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## Abstract

Animal models, human genetic and epigenetic studies as well as imaging and functional brain studies lend support for significant glutamatergic dysfunction in ADHD. Furthermore, medications with glutamatergic functions decrease ADHD symptoms and effective ADHD medications have glutamatergic activity. Neurodevelopmental studies indicate that glutamatergic neurotransmission has widespread impact on brain development throughout gestation and after birth. This provides a wide window of vulnerability along the lifeline, where insults such as hypoxic-ischemic injuries, infections, teratogens, genetic and epigenetic factors can disrupt glutamatergic function leading to ADHD symptomatology. No Glutamatergic biomarkers have thus far been identified informing biological processes for ADHD, or other neuropsychiatric disorders. This is not surprising given the wide window of vulnerability impacting on a multitude of glutamatergic functions, and our limited knowledge of the human Central Nervous System (CNS). This, however, is rapidly changing as newer tools such as single cell transcriptomics have recently led to discoveries of new subsets of glutamatergic neurons. Additional tools such as human induced pluripotent stem cells (hiPSC) and derived cortical organoids will further our understanding of the underlying disease mechanisms and this will allow the development of safer and more effective ADHD treatments. Treatments applied during gestation and neonatal periods remain to be developed but have the potential for decreasing morbidity and potentially preventing ADHD and other neuropsychiatric disorders from manifesting.

## Key Points

- Animal models, brain imaging and functional studies, human genetic and epigenetic studies as well as pharmacological studies indicate a significant role for glutamatergic dysfunction in ADHD.
- Glutamatergic neurotransmission has widespread impact on brain development throughout gestation and after birth and insults disrupting glutamatergic function during various developmental phases can contribute to ADHD symptomatology.
- Newer tools are rapidly allowing discoveries of the human CNS neurons, circuits, and functions throughout development, and this will likely lead to better treatments and possibly prevention of ADHD.

## Introduction

Attention Deficit, Hyperactivity Disorder (ADHD) is a syndrome manifested by symptoms of hyperactivity, impulsivity, inattention and other executive function deficits [1]. Clinically, however, it is much

more complex with epidemiological, neuropsychological and genetic twin studies indicating symptoms on the extremes of a continuum [2-4] with inattentive symptoms becoming more apparent in the older children [5,6]. ADHD is highly comorbid with other disruptive behavioral disorders, anxiety and mood dysregulation in children [7,8] and adults [9] as well as neurodevelopmental disorders [10]. Brain imaging and functional studies reflect the clinical complexity. No single brain structure has been associated with ADHD and consistent findings indicate reduced total intracranial volume as well as reduced volumes in a number of sub-cortical anatomical regions including the accumbens, caudate, putamen, amygdale and hippocampus [11] and delays (2.5 to 5 years) in prefrontal cortical thickening [12,13]. *In vivo* neuroanatomic imaging of monozygotic twins discordant for ADHD, identified similar cortical dimensions but smaller right striatum and thalamus and larger cerebellum dimensions in the affected twins [14]. Functional studies consistently indicate hypoactivation in various brain regions during response inhibition tasks (frontal, parietal, thalamic, basal ganglia, cingulate cortex) [15], attention demanding tasks (frontal, basal ganglia, thalamus, parietal and temporal) [15,16], reward anticipation (striatum) [17,18], cognitive tasks, motor timing as well as in resting state (cerebellum) [19,20].

The involvement of numerous brain regions has led to studies examining connectivity using structural and functional measures [21]. Structural connectivity referring to anatomical connections and functional connectivity referring to temporal correlations in neural activity between brain regions. While disruption in various networks are reported in both children [22] and adults [23-25], replication has been difficult due to various methodological issues. In a large, well-done study of ADHD adults with childhood onset, stronger connectivity was reported in the anterior cingulate gyrus of the executive control network and trends in the cerebellum network,

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with considerable overlap in connectivity values between patients and controls [26]. More recent work, using data from “rich-club” organization identified highly connected nodes within brain networks connecting to other nodes in healthy human brains. The “structural” and “functional” rich club regions differed with the former including the superior medial frontal/dACC, medial parietal /PCC, insula and inferior temporal cortex while the latter included midline frontal, midline posterior, insula, inferior temporal and cingulate cortex [27,28]. Using this context, lower levels of structural and functional connectivity inside these “rich-club” regions were found in ADHD youths [29]. Environmental factors such as in-utero drug exposure [30,31], prematurity, low birth weight [5,32], young maternal age and adverse life experiences are associated with increased risk for ADHD. However, the greater risk is due to genes given the high heritability estimates up to 76% [33] and concordance rates of up to 80% and 40% in monozygotic and dizygotic twins respectively [34]. The initial focus for candidate genes included genes involved in dopaminergic and noradrenergic dysregulation, considered to be the main contributors to ADHD pathophysiology [35] with some showing replicable evidence of association [36]. The advent of Genome Wide Association Studies (GWAS), allowing the exploration of the whole genome without a priori hypotheses, led to discoveries of glutamatergic gene variants in ADHD. Copy number variations (CNVs) in glutamatergic receptor genes *GRM5* and *GRM7* were first reported by Elia and colleagues [37] in an ADHD cohort. In a larger cohort (1,013 cases of ADHD and 4,105 controls) that used a two-stage genome-wide association for high-resolution CNV detection, 12 loci showed enrichment of CNVs in ADHD cases compared to control. Four genes belonging to the metabotropic glutamate receptor gene family, *GRM1*, *GRM5*, *GRM7* and *GRM8*, replicated in independent datasets of ADHD (2,493 cases) and controls (9,222) genotyped on matched case-control platforms [38]. Further replications include *GRM5* CNV variants were reported in ADHD cases with anxiety [39] and of the mGluR network genes in an autism cohort, highly comorbid for ADHD [40]. Investigating CNVs and Single Nucleotide Polymorphisms (SNPs) in ADHD, rare and common variant genes involving the glutamatergic synaptic development were reported by Yang and colleagues [41]. Gene network analysis indicate a significant impact of glutamatergic disruption accounting for 10% of cases in one of these studies and 22%

in a separate sample of 1876 children and adolescents where CNV-positive subjects had more emotional dysregulation, driven in part by a relatively large number of subjects with *CNTN4* CNVs [42]. Using whole blood DNA from monozygotic twins discordant for ADHD, pathway analyses showed an enrichment of signaling in pathways related to GABA in the affected twins. ADHD animal genetic models also provide supports for significant glutamatergic involvement as noted in Table 1.

## Pharmacotherapy

### Glutamatergic medications and ADHD effects

NMDA receptors are scattered throughout the brain with greater aggregation in the dendrites of pyramidal cells in the hippocampus and cortex (areas involved in memory, cognition and learning). Chronic low dose administration of NMDAR agonists have been reported to induce apoptosis [43,44] and at high doses have been reported to induce necrosis. In normal conditions, released glutamate is metabolized or taken up by neighboring cells. When pathways are disrupted, glutamate accumulates and overexcites the NMDA receptors. NMDA receptors act as a  $Ca^{2+}$  (calcium ion) channel that is activated by glycine, glutamate or NMDA. These channels function only when the cell membrane is depolarized due to the blockade of the channel by  $Mg^{2+}$  (magnesium). This prevents the influx of  $Ca^{2+}$  when the neuron is at rest. In pathological conditions, the membrane is depolarized chronically,  $Mg^{2+}$  leaves the channel and  $Ca^{2+}$  influx is unrestricted for longer periods leading to cell death through free radicals [45] or through overload of mitochondria resulting in free radical formation, capsase activation and release of apoptosis-inducing factors [46]. NMDA antagonists decrease the permeability of the channel and prevent an influx of  $Ca^{2+}$  providing neuroprotection. Therefore, medications that could block this activity could therefore modulate glutamatergic tone and potentially improve ADHD symptoms. As summarized in Table 2, medications that target glutamatergic hypoactivity have been reported to have some modest effect on ADHD. Amantadine, a glutamatergic antagonist binds to the NMDA receptor and prevents the excessive excitation by glutamate has been shown to be helpful in a number of neuropsychiatric disorders including ADHD [47], Memantine, an analogue of amantadine, likewise been shown to have modest improvement in ADHD in human studies [48]. The ADHD effects however may also be due to

**Table 1:** ADHD Animal Models and Glutamatergic Function.

Animal Model	Glutamatergic Function
DAT KO	Hyperactivity can be increased by NMDAR blockers and suppressed by drugs that increase glutamatergic transmission [1].
DAT KD	Dopamine transporter expression is reduced to 10% [2] and these animals are in a chronic hyper-dopaminergic state. Electrophysiological recordings from medium-sized spiny neurons show alterations in amplitude and frequency of spontaneous glutamate-receptor mediated synaptic currents [3].
DRD4 KO	Extracellular glutamate levels increased in striatum [4].
SNAP-25	Increased levels of extracellular glutamate in hippocampus [5].
NHE	Increased levels of PFC glutamate [6]. Electrophysiological analyses of hippocampal CA3-to-CA1 synapses show reduced synaptic transmission and reduction LTP by NR2B specific blocker CP-101.606 [7].
SHR	Glutamatergic impact on other neurotransmitters such as increased dopamine has been hypothesized to be due to altered glutamate regulation of dopamine neurons [8]. The NMDAR antagonist MK-801 increased glutamate-stimulated release of radioactively labeled NE in hippocampal slices and this effect was blocked by CNQX, an AMPA-receptor antagonist [9]. Dysregulated expression of genes in glutamatergic pathways animal [10-13].
GRM KO	Deletion of (GRM) gene, <i>GRM5</i> and inhibition of mGluR protein mGluR5 with a pharmacological antagonist resulted in increased spontaneous locomotor activity in rats [14] and comparable results were obtained with <i>GRM7</i> [15] and <i>GRM8</i> KO mice [16].

AMPA: amino-3-hydroxy-5-methyl-4-isoxazole propionate; DAT: Dopamine Transporter; DAT KD: Dopamine Transporter Knock Down; DAT KO: Dopamine Transporter Knock Out; DRD4 KO: Dopamine Receptor D4 Knock out; GRM: Glutamate Receptor Metabotropic; KO: Knock out; LTP: Long Term Potentiation; mGluR: metabotropic glutamate receptor; NHE: Naples High Excitability; NMDAR: N-methyl-D-aspartate receptor; PFC: Prefrontal Cortex; SNAP 25: Synaptosomal nerve associated protein 25; SHR: Spontaneous Hypertensive Rat

**Table 2:** Medications targeting glutamate or glutamate receptors: ADHD effects.

	ANIMAL MODELS	HUMAN ADHD STUDIES
<b>AMANTADINE</b> Weak, non-competitive NMDAR antagonist [1]. Enhances dopamine release indirectly via antagonism of the NMDAR [1,2].		Open study in 9 ADHD children (age 10-13). MPH discontinued for 1 week followed by amantadine treatment for 1 month. Amantadine was rated as intermediate effect between MPH and no meds [3].
		6-week open study in 24 ADHD children (age 5-13). Improvement in ADHD but more modest than stimulants [4].
		D-B, placebo controlled trial in 39 Autistic children (age 5-19). Improvement in hyperactivity [5].
		Over 400 children reported treated with amantadine in book "Delivered from Distraction" [6]
		D-B, 6-week randomized trial of amantadine vs MPH in 40 ADHD children (age 6-14). Improvement in ADHD for both amantadine and MPH with greater improvement for MPH [7].
<b>MEMANTINE</b> (analogue of amantadine) Non-competitive NMDA-R antagonist [8,9].	Memantine, administered 4 hours after initiation of NMDA mediated neurotoxicity in rats, prevented death of neonatal ganglions [10].	8-week open trial in 16 ADHD children (age 6). Improvement in ADHD [11].
	Exposure in rats to low doses of in-vitreal glutamate resulted in 42% loss of retinal cells that was mitigated by memantine [12].	12 week open trial in 34 ADHD adults. Improvement in ADHD [13].
		D-B, 6-week randomized trial of memantine vs MPH in 40 ADHD children (age 6-11). Improvement in ADHD for both amantadine and MPH with greater improvement for MPH [14].
		D-B, placebo controlled, 12 wk memantine added to open label MPH in 12 ADHD adults.
<b>D-CYCLOSERINE</b> Partial agonist at glycine-binding site of NMDARs [16].	D4R K-O mice with viral expression of hD4.4 (normal variant) or hD4.7 (variant associated with ADHD) in PFC were used to examine impact of hD4R polymorphisms on NMDARs and ADHD-like behaviors. Direct stimulation of NMDA-Rs with D-cycloserine prevented the NMDAR hypofunction induced by hD4.7 activation. hD4.7 mice exhibited increased exploratory and novelty seeking behaviors. Treatment with D-cycloserine improved these ADHD-like behaviors by enhancing basal NMDAR-mediated synaptic current in hD4.7 expressing neurons and preventing NMDAR hypofunction induced by hD4.7 activation [17].	None
<b>KETAMINE</b> NMDAR antagonist [18].	Post-natal (Day11) administration of ketamine in male mice pups resulted in initial hypoactivity followed by hyperactivity that was reduced by d-amphetamine. Cell degeneration was noted primarily in parietal cortex [19,20].	None

D-B: Double-Blind; hD4R K-0: human D4 Receptor Knock-Out; hD4.7: human D4.7; MPH: methylphenidate; NMDAR: N-methyl-d-aspartate receptor; PFC: Prefrontal Cortex; Vs: versus; Wk: week

the indirect enhanced dopamine release via antagonism of the NMDA receptor [49,50]. NMDARs have the distinct property in that they require binding of two agonists to be fully functional, namely binding of glutamate to the GluN2 subunit as well as a binding of a separate co-agonist to the glycine site of the GluN1 subunit [51]. NMDARs composed of GluN2A subunits have a high affinity for D-serine while NMDARs composed of GluN2B subunits preferentially bind glycine [52]. Administration of D-serine as a potential therapeutic was noted to be limited since chronic use resulted in desensitization and renal damage led to the search for other potential agonists [53]. The antibiotic D-cycloserine is a partial agonist at the glycine site [54]. Treatment of ADHD with in humans has not been studied however animal models suggest some potential. The gene encoding human D4 receptor (hD4R) has a number of variable repeats [55] with the 4 (hD4.4) and 7(hD4.7) being the most common variants with a global frequency of 64% and 21% respectively [56]. The hD4.7 variant has been associated with ADHD [57] and novelty seeking [58] but the mechanisms are not known. However, D4R activation has been shown

to modulate NMDAR trafficking and function [59]. In D4R-KO mice with viral expression of human D4.4 (normal variant) or hD4.7 (variant associated with ADHD), Qin and colleagues [60] showed that treatment with d-cycloserine improved ADHD-like behaviors in hD4.7 expressing mice by enhancing basal NMDAR-mediated synaptic currents in hD4.7 expressing neurons and by preventing NMDAR hypofunction induced by hD4.7 activation. Results suggest that over-suppression of NMDAR function may be the mechanism that the genetic variant hD4.7 results in ADHD symptoms. Increasing the NMDAR signaling could then result in therapeutic improvement. The authors do note that that virally expressed human D4 receptor variants are likely to have higher expression levels than endogenously expressed D4 receptors and this would need to be taken into account in human therapeutic uses of D-cycloserine [60]. Treatment of ADHD youths with ketamine, a non-competitive NMDAR antagonist [61] is not supported by animal models showing apoptotic cell loss and induction of ADHD symptoms in post-natally treated mice pups [62,63]. In a small sample of healthy volunteers ketamine was

shown to alter connectivity by disrupting frontoparietal connectivity [64]. In another small sample of healthy adult male volunteers, sub-anesthetic doses of ketamine were reported to decrease connectivity in auditory and somatosensory networks but increase connectivity in cerebellar and visual networks [65] thus raising the question whether there could be benefits in adult patients with impaired default mode cerebellar networks [66,67].

### ADHD medications and Glutamatergic Function

As reviewed by Cortese and colleagues [68], stimulant medications remain the first line treatment for ADHD. In 1999, the *SLC6A3-KO* (DAT-KO) mouse, an ADHD animal model, remains responsive to methylphenidate in spite of the lack of a dopamine transporter [69] put into question the predominant dopaminergic theory of ADHD. This was further challenged when it was shown that hyperactivity in these mice was increased by NMDAR blockers and suppressed by drugs that increase glutamatergic transmission [70]. Increased midbrain *SLC6A3* and *DRD4* expression were reported in rats where glutamate transporter increases were found in the striatum [71] suggesting that decreases in dopamine may alter glutamate signaling. Also, glutamate receptor subunit gene (*GRIN2A*) disruption increased DA and serotonin metabolism in the frontal cortex and striatum of mice, and increased locomotor activity that was reduced by dopamine or serotonin receptor antagonist [72]. As noted in Table 3, the commonly used ADHD medications as well as some medications in development impact on the glutamatergic system as evidenced by animal studies. The dopaminergic activity of norepinephrine transporter inhibitor [77]. However animal studies indicate that it reduces NMDAR subunit 2B protein levels [78] and blocks NMDA-induced membrane currents in cortical and hippocampal neurons [79]. In ADHD subjects, h-MRS studies show normalization of increased glutamatergic tone in frontal and striatal brain regions [80] and decreased glutamatergic activation of dorsal lateral prefrontal cortex (DLPFC) neurons [81]. Guanfacine's ADHD efficacy is attributed primarily to its  $\alpha$ -2A agonist activity [82]. Microcircuits of pyramidal cells in layer 3 of PFC are interconnected by NMDA glutamate receptors, located in dendritic spines. Next to these are  $\alpha$ -2A receptors, which improve neuron connectivity, coordinating the "signal" to create the working memory and behavioral inhibition, essential for PFC function [83]. Animal data also has shown improved working memory deficits induced by the NMDAR antagonist phencyclidine [84]. Guanfacine improves prefrontal connectivity through modulation of glutamatergic activity including NMDAR and AMPA ( $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid) receptor [85]. Long-term drinking rats had significant increase in NMDA and AMPA currents compared with alcohol naïve-rats and the in-vivo guanfacine treatment significantly reversed this alcohol-induced dysregulation [86]. Metadoxine, is an ion-pair salt of Vitamin B 6 (pyridoxine), a precursor of pyridoxal phosphate-dependent enzymes that are necessary in the biosynthesis of several neurotransmitters including serotonin, epinephrine, norepinephrine and  $\gamma$ -aminobutyric acid (GABA) [87]. Preliminary clinical studies in adults with ADHD treated with metadoxine, have reported efficacy and tolerability [88,89]. Modafinil binds DAT and inhibits DA reuptake [90,91]. Animal models indicate an inhibitory effect on GABA release that is dependent on a catecholaminergic network [92]. ADHD studies indicated efficacy similar to stimulants but FDA approval was not successful due to Stevens Johnsons syndrome [93]. Fasoracetam, modulates adenylyl cyclase activity through mGluRs [94-96] and increases GABA<sub>A</sub> receptors in rat cortex [97,98]. In an open, single blind, placebo controlled study that included 30

ADHD (ages 12-17) children who also had comorbid anxiety and mood dysregulation and harbored mutations in the mGluR network genes, fasoracetam resulted in significant improvement especially in mGluR Tier 1 variants [99]. In human subjects, Magnetic Resonance Spectroscopy (MRS) studies have shown increased glutamatergic signaling in fronto-striatal pathways, in many but not all ADHD youths [100-104] leading to increased activity at glutamate receptors AMPA and NMDA while decreased signaling has been reported in ADHD adults [105,106]. GABA concentration, measured *in vivo* by edited MRS is reduced in children with ADHD compared to controls [107]. Short intercortical inhibition is modulated by GABA<sub>A</sub> agonists [108] and a study using TMS found reduced short intercortical inhibition in ADHD children [109]. Regional GABA concentrations have also been reported to correlate with motor control and impulsivity in healthy adults [110]. In a Single Photon Emission Computed Tomography (SPECT) study of 35 ADHD children using 123I-iodozepam (binds with high affinity to benzodiazepine receptors), higher binding activity was seen in the posterior cingulate cortex suggesting that GABAergic inhibitory neurons may be playing a significant role [111]. As noted in Table 4, in humans ADHD MRS studies this increased glutamatergic tone in frontal and striatal brain regions normalizes with stimulants and atomoxetine [112] but not in a subsequent study. MRS studies also indicate that methylphenidate could lead to increased activity of glutamatergic pathways by the dopamine reuptake inhibition in mesocortical areas or a direct effect on NMDA glutamatergic receptors. methylphenidate [73] and amphetamine [74,75] are well known and considered to be the main pathways of conferring efficacy in ADHD. However animal models show various effects of these medications on glutamatergic functions in various brain regions, some effects being dose dependent [76]. Atomoxetine's efficacy in ADHD is attributed primarily to its activity as a selective

### Glutamatergic CNS Neurodevelopment

In the human embryo the neocortex is first recognized at 6 weeks post conception and is fully established by 7 weeks post-natally [113] with ontogenic events orchestrated in specific space-time sequences. The human CNS contains 86.1 billion neurons [114], 16.3 billions of these estimated to be localized the outer 2 to 3 millimeters of the cerebral hemispheres known as the cerebral cortex [115]. About 80% of these cortical neurons are thought to be excitatory glutamatergic projection neurons (pyramidal cells and spiny stellate cells) [116] and the rest are local circuit GABAergic inhibitory interneurons [117-119]. As detailed in Figure 1 by the 5<sup>th</sup> week (peaking at 8-12 weeks), the earliest neurons to emerge in the human embryonic cortical plate are the GABAergic inter neurons. Other neurotransmitter systems development follows and by the 8<sup>th</sup> week, 5HT Serotonin Transporter (SERT) antibodies emerge in fibers from the raphe, reach the subplate at week 10 and arrive at the cortical plate at week 13 [120,121], dopamine like receptors D<sub>1</sub> and D<sub>2</sub> first expressed at 9 to 10 weeks respectively [122] and levels  $\alpha$ 7 nicotinic acetyl-choline receptor mRNA expression are identified between 9-11 wks [123] Figure 2. The majority of GABAergic inter neurons are generated between 10 and 25 weeks post-conception [124] and consist of two lineages, one originating from the neocortical ventricular and subventricular zone of the dorsal forebrain expresses *Dlx1/2* and *Mash 1* transcription factors and comprises about 65% of the neocortical GABAergic neurons in the human brain. A second lineage, comprising about 35% of the GABAergic neurons originates from the ganglionic eminence of the ventral forebrain and expresses only *Dlx1/2*. Early in development

**Table 3:** Animal Models and Glutamatergic Effects of ADHD Medications.

<b>METHYLPHENIDATE</b> DA and NE reuptake inhibitor [1]	DAT1 KO, remains responsive to methylphenidate in spite of the lack of a dopamine transporter [2]. Hyperactivity in these mice can be increased by NMDAR blockers and suppressed by drugs that increase glutamatergic transmission [3]. Ibotenic acid lesions of glutamate PFC neurons in rats modulated effects of MPH [4]. In midbrain rat slices MPH and AMPH increased PFC glutamatergic EPSC. However only higher doses of MPH induced NMDAR but not AMPA/kainite receptor mediated EPSC effects. AMPH induced both but effects were greater on AMPA/kainite [5]. 4-week old rats treated with low and high MPH doses showed that at low dose MPH selectively potentiates NMDAR mediated EPSC's via adrenergic receptor activation and also restores NMDAR function in those exposed to stress. High doses MPH suppressed both NMDAR and AMPA mediated EPSC's [6].
<b>AMPHETAMINE</b> Promotes release of DA and NE [7,8].	AMPH increases extracellular glutamate in various brain regions including the striatum, VTA and NAC [9-13]. AMPH inhibits metabotropic (but not ionotropic) glutamate receptor (mGluR)-mediated IPSPs in DA enhancing phasic release of DA [8]. Neonatal administration of glutamate receptor antagonists (MK-801, ketamine, ethanol) in mice pups caused hypoactivity followed by hyperactivity that was reversed by d-AMPH [14]. In midbrain rat slices MPH and AMPH increased PFC glutamatergic EPSC. However only higher doses of MPH induced NMDAR but not AMPA/kainite receptor mediated EPSC effects. AMPH induced both but effects were greater on AMPA/kainite [5]. AMPH stimulates endocytosis of the excitatory amino acid transporter (EAAT3), in dopamine neurons in vitro and in vivo which increases glutamatergic signaling [15]. AMPH increases NMDAR-mediated synaptic currents and decreases AMPAR/NMDAR ratios in midbrain DA neurons. This potentiation is dependent on activation of NMDAR-GluN2B subunit [16].
<b>ATOMOXETENE</b> NET inhibitor [17].	Atomoxetine blocked NMDA-induced membrane currents in cultured rodent cortical and hippocampal neurons [18]. Decreased levels of NMDAR subunit 2B, and NET were found after atomoxetine treatment. Two months after exposure genes coding for NMDAR subunits and NMDAR protein levels were reduced [19].
<b>GUANFACINE</b> $\alpha$ -2A agonist [20]	Guanfacine improves working memory deficits produced by NMDA-R antagonist phencyclidine [21]. Hyperactivity in SHR rat reduced by guanfacine [22]. The PFC has a balance mechanism modulated by $\alpha$ 2A receptors. Low dose guanfacine enhances excitatory impulses through closure of HCN channels, but at high doses attenuates excitatory impulses by inhibiting activation of AMPA receptors [23]. Guanfacine decreased fEPSP in medial PFC inhibiting excitatory synaptic transmission [24]. Guanfacine suppresses eEPSC in mPFC pyramidal cells. This inhibition is mediated by Gi-cAMP-PKA-PP1-CaMKII-AMPA signaling pathway [25]. Improves prefrontal connectivity by modulating NMDA and AMPA receptors [26]. Long-term drinking rats had significant increase in NMDA and AMPA currents compared with alcohol-naïve rats and the <i>in vivo</i> guanfacine treatment significantly reversed this alcohol-induced dysregulation [27].
<b>METADOXINE</b> Increases synthesis of DA, 5HT [28]	Necessary for synthesis of neurotransmitters including GABA [29].
<b>MODAFINIL</b> (diphenyl methyl sulfinyl acetamide). Binds DAT, inhibits DA reuptake [30,31]	Modafinil treatment in guinea pig produces reduction of GABA outflow. After 6-hydroxy-dopamine treatment, (which depletes catecholamine levels) modafinil fails to inhibit cortical GABA release. The catecholaminergic telencephalic networks seem essential for the elicitation of the inhibitory effects of modafinil on GABA release [32]. In rodents, modafinil blocks GABA and increases glutamate release in dose-dependent manner in some brain regions such as the cortex, nucleus accumbens and in sleep-related brain areas (medial preoptic area and posterior hypothalamus) medial preoptic area and posterior hypothalamus [33]. In other regions (VMT, VLT and Hippocampus) glutamate was increased but not GABA [34]. In the striatum and pallidum, GABA release was inhibited without any changes in glutamate at the lower doses. At the higher doses it further reduced pallidal but not striatal GABA release and increased striatal but not pallidal glutamate release while in the substantia nigra it reduced only GABA release without affecting glutamate release [35]. Modafinil also impacts on other neurotransmitters. In the <i>in-vivo</i> (halothane anesthetized rat) modafinil-induced increase of dopamine release that was associated with a significant reduction of accumbens GABA release [36,37] and in a separate study the reduction of GABA transmission was noted to involve local 5-HT <sub>3</sub> receptors [37,38]. <i>In vitro</i> studies in rat primary cortical neurons, fisoracetam modulates adenylyl cyclase activity through mGluRs [39-41]. <i>In vivo</i> , 14-day administration of fisoracetam increased GABA <sub>B</sub> receptors in rat cortex [42,43].
<b>FASORACETAM</b> Glutamatergic modulator [39-41].	

AMPA: alpha-amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid; DA: Dopamine; DAT: Dopamine Transporter; DLPFC: Dorsolateral Prefrontal Cortex; EAAT: Excitatory Amino Acid Transporter; EPSC: Excitatory Post-Synaptic Currents; fEPSP: field Excitatory Post-Synaptic Potential; GABA:  $\gamma$ -Aminobutyric Acid; HCN: Hyperpolarization-activated Cyclic Nucleotide-gated Channel; IPSP: Inhibitory Postsynaptic Potentials; MPA: Medial Preoptic Area; MPH: Atomoxetine; NMDAR: N-methyl-D-Aspartate Receptor; NAC: Nucleus Accumbens; NE: Norepinephrine; SHR: Spontaneous Hypertensive Rat; VTA: Ventral Tegmental Area

they are primarily excitatory in nature by depolarizing and exciting targeted neurons by an outwardly directed flux of chloride controlled between the cation-chloride importer NKCC1 and the exporter KCC2. Around week 16 post-conception, a differential isoform expression of KCC2 drives the developmental switch of GABA from excitatory to inhibitory activity [125].

As reviewed by Marin-Padilla in humans pyramidal neurons are the most abundant fundamental cell types of the mammalian neocortex. They originate in the cortex ependymal neuroepithelium and using radial glia ascend to the 1<sup>st</sup> layer of the neocortex where they remain anchored for life. They are incorporated in the primordial

**Table 4:** ADHD Medications and GLUTAMATERGIC Activity in Human ADHD Studies.

<b>METHYLPHENIDATE</b> DA and NE reuptake inhibitor [1].	<sup>1</sup> H-MRS studies have shown increased glutamatergic tone in frontal and striatal brain regions of ADHD subjects [2-5] that normalized with MPH [6] but not in all studies [7,8].
	<sup>1</sup> H-MRS in 12 ADHD children treated with MPH showed increased glutamatergic signaling in right and left PFC [9].
	<sup>1</sup> H-MRS in 21 ADHD children before and after 2-month treatment with MPH or Atomoxetine showed MPH increased glutamatergic activation in white matter behind the DLPFC [10].
	GWAS study investigating response to the methylphenidate in ADHD children detected nominal evidence for association of several SNPs including SNPs within <i>GRM7</i> [7].
<b>ATOMOXETINE</b> NET inhibitor [12] and NMDAR antagonist [13].	<sup>1</sup> H-MRS studies have shown increased glutamatergic tone in frontal and striatal brain regions of ADHD subjects that normalized with atomoxetine treatment [3].
	<sup>1</sup> H-MRS in 21 ADHD children before and after 2 months treatment with MPH or atomoxetine, atomoxetine decreased hyper activation of DLPFC neurons [10].

DA: Dopamine; DLPFC: Dorsolateral Prefrontal Cortex; GWAS: Genome Wide Association Studies; GRM: Metabotropic Glutamate Receptor; <sup>1</sup>H-MRS: Magnetic Resonance Spectroscopy; MPH: Methylphenidate; NMDAR: N-methyl-D-Aspartate Receptor; NE: Norepinephrine; NET: Norepinephrine Transporter; PFC: Prefrontal Cortex; SNP: Single Nucleotide Polymorphism

cortex from 8-15 weeks gestation, establishing the neocortex gray matter, where most neurons reside. The pyramidal neurons incorporate additional segments of functional synaptic membrane to its apical dendrites at 15,20,25,30,35 weeks and birth. The ongoing specialization of cortical pyramidal neurons are thought to play a critical role in primate executive cortical functions [126]. The mammalian glutamate receptor ion channels include the ionotropic cation-permeable receptor channels and the G-protein coupled metabotropic glutamate receptors [127,128]. Most, if not all cells in the nervous system, express at least one type of glutamate receptor [129-133] and expression of glutamate receptor subunit mRNA is apparent as early as the 8<sup>th</sup> week of gestation [134]. Expression of NMDAR in human fetal forebrain peaks during weeks 20-22 [135]. As noted in Figure 1, the NMDAR have 6 subunits. Expression of subunit mRNA was highest for NR1, NR2B and NR2D with increased expression at weeks 11,13 and 19. In normal white matter, the NR1 and NR2B levels were highest in preterm period compared to adults while in gray matter, NR2A and NR3A expression was highest near term [136]. Kainate receptor subunit expression was predominant for KA2 and GluR7 with transient periods of high expression at weeks 11,13 and 19, while AMPAR subunit expression was at low levels for all four transcripts. Migration peaks between weeks 12-20 [137] with GABAergic local circuit neurons migrating tangentially from the telencephalon to diencephalon between 18-36 weeks gestation [138]. The first synapses are formed in the cerebral cortex at 6 to 7 weeks of gestation [139,140]. After formation of the cortical plate, synaptic density increases rapidly and peaks around 24-26 weeks in all cortical regions with peak synaptic density occurring in the visual and auditory cortices around 3 months postnatal and in the prefrontal cortex around 15 months [141]. As reviewed by Ben-Ari [142] GABAergic synapses are formed before glutamatergic synapses. GABAergic interneurons mature first and innervate other interneurons providing most synaptic currents initially and orchestrating the activity of the entire network [143,144]. Axon and dendrite sprouting and synapse formation peak during the last trimester and 1<sup>st</sup> postnatal year while the major telencephalic myelination occurs during 1<sup>st</sup> year after birth [145]. The generation of astrocytes coincides with the initiation of synapse formation with the astrocytes contacting immature neurons making them competent to form synapses [146]. This is followed by astrocyte secreted signals that instruct the formation of immature, silent and then mature synapses [147]. Astrocytes also have receptors for multiple neurotransmitters [148] and D-serine, released from astrocytes is a co-agonist for NMDAR through which it regulates synaptic plasticity [148-150]. Glia also regulate neurotransmitter uptake via glutamate transporters. Pre-myelinating oligodendrocytes

are present at 17-20 weeks gestation with myelination occurring in the last trimester, first in the basal ganglia and later in the corpus callosum [151-153]. OPCs generate myelinating oligodendrocytes throughout life while mature oligodendrocytes generate myelin sheaths and may impact on ADHD symptomatology since myelinated fibers assemble in bundles, forming large white matter tracts easily detected by MRI and are reduced in ADHD [154,155]. OPCs also control postsynaptic NMDAR and AMPAR-mediated currents and plasticity through cleavage of the transmembrane proteoglycan NG2 [156]. Subtypes of glia with distinct neurogenic and gliogenic potentials are reported and radial glia-derived progenitors persist through adulthood and give rise to adult neural stem cells [157]. Microglia, the brain's resident macrophages, are not derived from neuroepithelium as are the neurons and glia, but develop from erythromyeloid progenitors, entering the CNS at the onset of neurogenesis and subsequently proliferate and colonize the brain and spinal cord [158]. Microglia regulate neuronal survival, are involved in synapse formation apoptosis during early development and in neurogenic regions of the adult brain [159]. In addition, they play a major role at the interface between the immune system and CNS [160-162]. Activation of the maternal immune system during pregnancy or early life has been shown to exert long-term effects on the wiring of neural circuits and may contribute to the etiology of neurodevelopmental disorder [163].

### Glutamatergic Vulnerability

A number of regional and temporal patterns of vulnerability emerge during glutamatergic neurodevelopment [164,165]. Glutamatergic afferent synapses from almost the entire cortex converge on the striatum, a core structure that has been implicated in ADHD, led Lou to conclude that this area is highly vulnerable to ischemia [166]. Glutamate concentrations in extracellular spaces are low and strictly regulated since an excess release of glutamate can result in excitotoxicity of post-synaptic neurons and cell death [167], hypoxic-ischemic events, common in premature infants might, induced the release of glutamate, damaging this vulnerable structure and conferring risk for ADHD. Lou's hypothesis has been further supported by studies reporting hypoxia impairing the energy dependent glutamate transport, allowing extracellular glutamate to accumulate and initiate excitotoxic neuronal injury and death [168-170]. In a study that generated neurons from human embryonic stem cells, increased responsiveness of neurons to glutamate-induced Ca<sup>2+</sup> influx was attributable to NMDAR activity that was concomitant with an increase in expression of mRNA encoding NMDA and AMPAR subunits. Differentiated neurons were vulnerable to glutamate excitotoxicity in a dose-dependent manner that was reduced by an



VULNERABILITY										
PRETERM					TERM	POST-TERM				
VLBW <1500g										
TERATOGENICITY (17)					ENCEPHALOPATHY WHITE MATTER INJURY		HYPOXIC-ISCHEMIC CORTEXICAL INJURY		(17-)	
					NMDAR ANTAGONISTS					
					PCP, Alcohol (21-23)			Ketamine (24), NO (25)		
INFECTIONS -MATERNAL IMMUNE ACTIVATION (26-28)										
NEUROGENESIS ADHD associated genes: <i>CHD13</i> (29), <i>FOXP2</i> (30), <i>GRM1</i> , <i>GRM5</i> , <i>GRM7</i> (31), <i>MEF2C</i> (30), <i>NOS1</i> (32), <i>PARK2</i> (33), <i>SLC6A4</i> (34, 35).										
P										
MIGRATION ADHD associated genes: <i>FOXP2</i> (30), <i>SLC6A4</i> (34, 35).										
P										
CONNECTIVITY ADHD associated genes: <i>CDH13</i> (29); <i>LPHN3/ADRL3</i> (38, 39), <i>PCDH7</i> (30), <i>SEMA4D</i> (30).										
SYNAPTogenesis, SYNAPTIC ACTIVITY, SYNAPTIC PLASTICITY ADHD associated genes: <i>BDNF</i> (29, 40, 41), <i>CHRNA7</i> (42), <i>FOXP2</i> (30); <i>GRM1</i> , <i>GRM5</i> , <i>GRM7</i> (31), <i>5-HT1B</i> (34), <i>LPHN3/ADRL3</i> (38, 39), <i>MEF2C</i> (30), <i>NOS1</i> (32), <i>PTRF</i> (30), <i>SLC6A3</i> (34, 43), <i>SLC6A4</i> (34, 35), <i>SLC9A9</i> (29, 44), <i>SNAP25</i> (34, 45), <i>SORCS3</i> (30), <i>ST3GAL3</i> (30).										
P										
P P P										
SELECTIVE CELL DEATH ADHD associated genes: <i>BDNF</i> (40, 41), <i>NOS1</i> (32), <i>PARK2</i> (33), <i>PTRF</i> (30), <i>SLC6A4</i> (34, 35), <i>SNAP25</i> (34, 45).										
GLIA, MICROGLIA ADHD associated genes: <i>BDNF</i> (40, 41), <i>CHRNA7</i> (42), <i>DRD5</i> (49), <i>GIT1</i> (50), <i>GRM1</i> , <i>GRM5</i> , <i>GRM7</i> (31), <i>NOS1</i> (32), <i>SLC6A2</i> (29), <i>ST3GAL3</i> (30).										

**Figure 2:** Schematic drawing of glutamatergic cortical development.

GABAergic interneurons, the earliest neurons in the human cortical plate emerge by week 5 (peak 8-12 weeks). By the 8th week, 5HT serotonin transporter (SERT) antibodies emerge in fibers from the raphe, reach the subplate at week 10 and arrive at the cortical plate at week 13 [3,4], dopamine like receptors D1 and D2 first expressed at 9 to 10 weeks respectively [2] and levels  $\alpha 7$  nicotinic acetyl-choline receptor mRNA expression are identified between 9-11 wks [1]. The subplate zone, a transient cortical structure between 13-25 weeks gestation in humans, contains the earliest born neurons, radial glial processes, radially and tangentially migrating neurons, early developing astrocytes and microglia. It provides a "waiting area" for the developing thalamocortical afferents, basal forebrain cholinergic afferents and callosal and ipsilateral cortico-cortical afferents, all which head for cortical destination [5,6]. Radial Glia give rise to Gamma-aminobutyric acid (GABA)-ergic interneurons initially form a layer in the subplate zone (a transient cortical structure that exists between 15-35 gestational weeks in humans) [5,6]. GABA neurons are initially excitatory and around the 16th gestational week, the first differential isoform expression of KCC2 drives the developmental switch of GABA from excitatory to inhibitory [10]. Pyramidal neurons emerge between 8th and 15th week and attach to the 1st lamina of the neocortex. They then incorporate additional segments of functional synaptic membrane to its apical dendrite at 15, 20, 25, 30, 35 weeks and birth (13 Expression of NMDAR in human fetal forebrain is apparent by the 8th week. Transient periods of high expression reported for NMDA subunits NR1, NR2B, NR2D, Kianate R KA and GluR7 while AMPAR subunits were expressed at low levels [14]. The first synapses are found in the cerebral cortex around 6th to 7th week [47]. Glia includes radial glia, astrocytes and oligodendrocytes, the myelin producing cells. Pre-myelinating oligodendrocytes are present at 17-20 weeks gestation with myelination occurring in the last trimester, first in the basal ganglia and later in the corpus callosum [51-53]. The various neurodevelopmental processes present distinct regional and temporal patterns of vulnerability to genetic variations [36], teratogens, infections, insults [18,19], NMDAR antagonists NMDA antagonists [21-25].

AMPA: alpha-amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid; CHOL: Cholinergic; DA: Dopamine; GABA: Y-aminobutyric acid; mGluR: metabotropic; l-taminate Receptor; MPA: Medial preoptic area; NE: Norepinephrine; NMDAR: N-methyl-d-aspartate receptor; P: Peak; SER: Serotonergic; VLBW: Very low birth weight

in-utero exposure to NMDAR antagonists such as amantadine, ketamine, dextromethorphan, does not appear to result in physical teratogenicity. Likewise, benzodiazepine exposure in utero has been implicated in some studies but not others. However there is no data on behavioral or cognitive teratogenicity. During corticogenesis, CB<sub>1</sub>R (type 1 cannabinoid receptor) is involved in regulating neural progenitor proliferation, radial and tangential migration of pyramidal cortical neurons and interneurons, axonal path finding and synaptogenesis [188-190]. Prenatal exposure to cannabinoid receptors agonist in rats was reported to be associated with permanent alterations in cortical glutamatergic neurotransmission [191,192]. In a more recent study that limited prenatal exposure to CB<sub>1</sub>R and CB<sub>2</sub>R (type 2 cannabinoid receptor) agonists during embryonic period in rats, no physical malformations, toxicity or alter gestational parameters were found, however it was found to alter migration of early born GABAergic interneurons and glutamatergic neurons [193]. As reviewed by Dark and colleagues [194] and summarized in Figure 1 and 2 ADHD genes have been associated with all the major phases of neurodevelopment. Interestingly, in monozygotic twins discordant

for ADHD, cortical volume did not differ between twins and genes expressed in early cerebral cortical development also did not show differential methylation suggesting that in these ADHD cases the risk conferred for glutamatergic dysfunction is likely post-natal. In mice models with genetic deletions of the glutamate receptors, brain development is normal whereby in mice exposed to excess glutamate due to lack of glutamate transporters (GLAST, GLT1), multiple brain areas are deformed involving stem cell proliferation, radial migration, neuronal differentiation and survival [195]. NR1 deletions in these mice rescued these brain defects [196].

### Future Studies

Morphological characterization using slice physiology has been the standard for studying the neocortex in the past [197]. However, recent technological advances in human Pluripotent Stem Cell (hiPSC) technology, are allowing the generation of enriched human neurons and glia under defined conditions that permit study of human neural cells *in vitro* [160,198]. Advances in single-cell transcriptomics has enabled unbiased, high throughput quantitative surveys of

molecularly defined cell types in rodents [199,200] suggesting the presence of several dozen inhibitory and excitatory cell types [199-202]. In the primary visual cortex of adult mice, using single-cell RNA sequencing, 49 transcriptomic cell types were identified, 29 GABAergic, 19 glutamatergic and 7 non-neuronal types. Using single-cell transcriptomics and slice physiology, ten GABAergic interneuron subtypes with combinatorial gene signatures were identified in layer 1 of the human cortex. This is a substantially higher degree of GABAergic neuron complexity in just one layer of the human cerebral cortex (10 types) than what had been previously described in all the cortical layers (8 types) [203]. More recent work using transcriptional dynamics in different classes of interneurons during the formation of cortical inhibitory circuits in mice, the synaptic molecules were found to be expressed in a subtype specific manner [204]. Imaging-based in situ cell type identification and mapping method combined with single-cell RNA sequencing is allowing identification of neuronal populations characterized by distinct neuromodulatory signatures and spatial organizations [205]. The human CNS is much more complex and animal data alone is not adequate. A new type of neuron, thus far only discovered in humans was identified recently. Located in layer 1 of the neocortex it's a GABAergic neuron with a distinct shape and distinct genes are expressed on it. This new GABAergic neuron, thought to play a role in blocking signals from the excitatory pyramidal cells located in deeper neocortical layer [206]. Cortically patterned forebrain organoids, generated from fetal fibroblasts-derived (hiPSC) were found to pattern to forebrain on both transcriptome and regulatory levels, mimicking the longitudinal development of embryonic and early human fetal cortical development [207].

## Summary

Given the glutamatergic system's widespread impact on brain development and function, from embryogenesis through adulthood, it is not surprising that there are significant temporal and spatial windows of vulnerability where risk for ADHD can occur. Treatments include ADHD medications that modulate glutamatergic activity. Preventive interventions in animal studies, such as treatment with NMDAR blockers may mitigate some of the neuronal damage, however applying these strategies to humans is not yet applicable. However, recent technological advances, applied to studying the human brain, including hiPSC, single-cell transcriptomics, imaging-based in situ cell type identification and mapping method combined with single-cell RNA sequencing, are rapidly expanding our understanding of brain development that will likely lead to safer interventions as well as prevention.

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